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Attorney Docket: 26057

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Inventor: NKANO et al.  
Serial No.: 10/803,909

Group Art Unit: 2627  
Examiner: Unknown

Filing Date: March 19, 2004

Title: **METHOD OF IDENTIFYING OPTICAL DISC TYPE, AND OPTICAL DISC DEVICE**

**TRANSMITTAL LETTER**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Supplemental PTO Form-1449 with 7 cited Reference.
- (2) Copy of 7 of the 7 cited references.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,  
**THE NATH LAW GROUP**

By: 

Gary M. Nath, Reg. No. 26,965  
Gregory B. Kang, Reg. No. 45,273  
Jerald L. Meyer, Reg. No. 41,194  
Customer No. 20529

Date: January 16, 2007

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following particulars: [NOTE: One only of items a, b, c, and d must be checked.]

- ☐ a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- ☒ b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.  
The enclosed statement is accompanied by [check one]:  
☒ i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or  
☐ ii. a check in the amount required by 37 C.F.R. 1.17(p).
- ☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee.  
☐ Certification report(e) below; and  
☐ a check in the amount as required by 1.17(p).
- ☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

[ ~~XXX~~ ] e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

[ ~~XXX~~ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

[ ] Appropriate certification is attached.

[~~XXX~~] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

[~~XXX~~] g. Copies of the documents are attached herewith with a completed Form PTO-1449.

or

[ ] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

and/or

[~~XXX~~] Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so

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Attorney Docket: 26057

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adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,

**THE NATH LAW GROUP**

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<b>FORM PTO-1449</b>  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>CITATION</u></b>		Attorney Docket: 26057 Group Art Unit: 2627 Serial Number: 10/803,909 Date: January <u>16</u> , 2007		Inventor: NAKANO et al. Examiner: Unknown Filing Date: March 19, 2004			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Issue/Pub Date	Name	Class	Sub-Class	Filing Date
	A1						
	A2						
	A3						
	A4						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub-Class	Translation
	A5	2001-101771	13 Apr. 2001	JP			Abstract
	A6	2001-209936	03 Aug. 2001	JP			Abstract
	A7	09-190634	22 Jul. 1997	JP			Abstract
	A8	09-293321	11 Nov. 1997	JP			Abstract
	A9	2001-176129	29 Jun. 2001	JP			Abstract
	A10	2002-367171	20 Dec. 2002	JP			Abstract
	A11	2004-146016	20 May 2004	JP			Abstract
<b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	A12						
	A13						
	A14						
	A15						
Examiner			Date Considered				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP ' 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							